

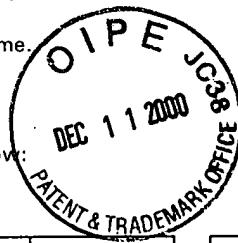
SECTOR \$ #3

| TRANSMITTAL LETTER   |                             |  | Case No. 9366-203      |
|--|-----------------------------|--|------------------------|
| Serial No.<br>09/612,407                                     | Filing Date<br>July 6, 2000 | Attention Customer Service<br>Center, Initial Patent<br>Examination Division | Group Art Unit<br>2761 |
| Inventor(s)<br>Junichi Imehara                               |                             |  |                        |
| Title of Invention<br>Direct Electronic Business Transaction |                             |  |                        |

TO THE COMMISSIONER FOR PATENTS

Transmitted herewith is Response to Notice to File Missing Parts of Application Filing Date Granted.

- ☐ Small entity status of this application under 37 CFR § 1.27 has been established by verified statement previously submitted.
- ☐ A verified statement to establish small entity status under 37 CFR §§ 1.9 and 1.27 is enclosed.
- ☐ Petition for a \_\_\_\_\_ month extension of time.
- ☒ No additional fee is required.
- ☐ The fee has been calculated as shown below:



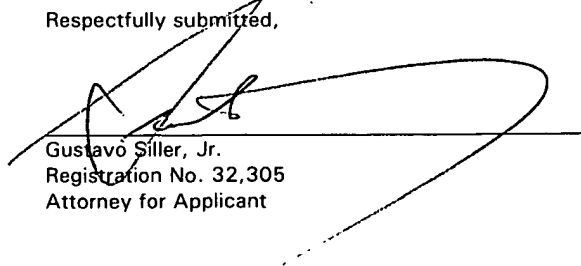
|   | Claims<br>Remaining<br>After<br>Amendment |       | Highest No.<br>Previously<br>Paid For | Present<br>Extra |
|---|---|-------|---------------------------------------|------------------|
| Total                                     |   | Minus |                                       |                  |
| Indep.                                    |   | Minus |                                       |                  |
| First Presentation of Multiple Dep. Claim |   |       |                                       |                  |

| Small Entity       |              |
|--------------------|--------------|
| Rate               | Add'l<br>Fee |
| x \$9 =            |              |
| x 40 =             |              |
| + \$135 =          |              |
| Total<br>add'l fee | \$           |

| Other Than<br>Small Entity |              |
|----------------------------|--------------|
| Rate                       | Add'l<br>Fee |
| x \$18 =                   |              |
| x \$80 =                   |              |
| + \$270 =                  |              |
| Total<br>add'l fee         | \$           |

- ☐ Please charge Deposit Account No. 23-1925 (BRINKS HOFER GILSON & LIONE) in the amount of \$\_\_\_\_\_. A duplicate copy of this sheet is enclosed.
- ☐ A check in the amount of \$\_\_\_\_\_ to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this communication or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.
- ☒ I hereby petition under 37 CFR § 1.136(a) for any extension of time required to ensure that this paper is timely filed. Please charge any associated fees which have not otherwise been paid to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

  
Gustavo Siller, Jr.  
Registration No. 32,305  
Attorney for Applicant

BRINKS HOFER GILSON & LIONE  
P.O. BOX 10395  
CHICAGO, ILLINOIS 60610  
(312) 321-4200

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on 12/6/00

Date: 12/6/00 Signature: 

#3

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on

12/6/00  
Date of Deposit  
Gustavo Siller, Jr., Reg. No. 32,305  
Name of Applicant, assignee or  
Registered Representative  
[Signature]  
Signature

Our Case No. 9366/203

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

Junichi Umehara

Serial No. 09/612,407

Filing Date: July 6, 2000

For Direct Electronic Business Transaction



)  
)  
)  
)  
) Attention Customer Service Center  
) Initial Patent Examination Division  
)  
) Group Art Unit 2761

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION  
FILING DATE GRANTED**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

In accordance with the Notice to File Missing Parts of Application Filing Date Granted dated October 6, 2000, a copy of which is attached, enclosed herewith for filing are the following documents:

x Fully executed Declaration and Power of Attorney for Patent Application for the above-referenced patent application.

x Applicant is:

— a small entity, verified statement is:

— attached

— already filed

x other than small entity

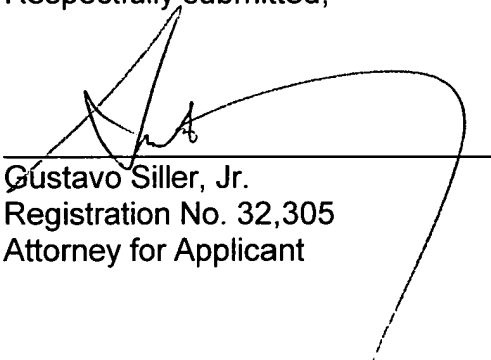
x   Checks totaling \$  130  for:  
       Filing Fee of \$         
  x   Surcharge of \$  130   
       Additional Claim Fees of \$       .

       Petition for Extension of Time (37 C.F.R. § 1.136(a)) to file missing parts (in duplicate).

  x   Other: Assignment and Assignment Recordal

☒ The Assistant Commissioner is hereby authorized to charge any deficiencies in fees or credit overpayment to Deposit Account No. 23-1925. A duplicate copy of this paper is enclosed.

Respectfully submitted,

  
\_\_\_\_\_  
Gustavo Siller, Jr.  
Registration No. 32,305  
Attorney for Applicant

BRINKS HOFER GILSON & LIONE  
P.O. BOX 10395  
CHICAGO, ILLINOIS 60610  
(312) 321-4200

Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

#3

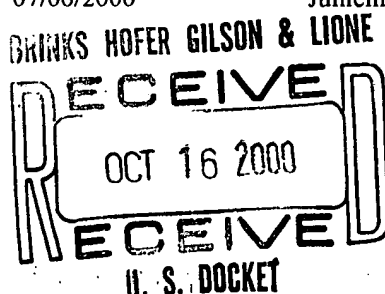


## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/612,407         | 07/06/2000          | Junichi Umehara       | 9366/203               |

Brinks Hofer Gilson & Lione  
PO Box 10395  
Chicago, IL 60610



## FORMALITIES LETTER



\*OC000000005458756\*

Date Mailed: 10/06/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

12/12/2000 SDUONG 00000023 09612407

02 FC:105

130.00 OP